

ERIN R. SCHRANTZ, Partner

Erin R. Schrantz is co-chair of the Investigations, Compliance and Defense Practice and a member of the firm's Monitorship Practice. She represents clients across a variety of industries in investigations and complex litigation, and partners with her clients to conduct pragmatic compliance risk assessments into corruption, fraud, #meToo and other compliance risk areas. She specializes in monitorships, cross-border investigations into financial crimes and potential violations of the Foreign Corrupt Practices Act, and developing comprehensive compliance programs and cultural reforms that reflect an organization's values. She has published and lectured on a variety of topics, including effective corporate compliance programs, internal investigation strategies, compliance strategies for international joint ventures, and risk-based and data-driven audit techniques.

Ms. Schrantz was recognized by *Crain's Chicago* as one of its 2021 "Notable Gen X Leaders in Accounting, Consulting and Law" and one of its "Notable Women in Law" in 2020, and by *Global Investigations Review* as one of the top 100 worldwide "Women in Investigations" in 2018.

At Jenner & Block, Ms. Schrantz serves on the firm's Management Committee, Diversity Equity & Inclusion Committee, and is a former co-chair of the firm's Women's Forum. She has twice received the Mentor Award from the firm's Associates Committee. As part of her active pro bono practice, she has first-chaired a jury trial for a client charged with first-degree murder and argued two direct appeals before the Seventh Circuit Court of Appeals, successfully vacating her client's sentence of life imprisonment.

Ms. Schrantz's engagements have included:

- Currently leading the compliance team for the Court ordered Monitor of The International Union, United Automobile, Aerospace, and Agricultural Implement Workers of America (United Auto Workers), following an agreement between the government and the UAW to resolve civil and criminal liability of the union through a consent decree. The agreement calls for a monitor to help carry out the mandates and goals set forth in the consent decree, which requires the monitor to work in several areas, including overseeing the union's elections, its overhaul of its compliance and control functions, and to investigate and seek the removal of union officials who engage in certain types of misconduct.
- Led the compliance team for the Monitor of Credit Suisse AG following the bank's \$715 million settlement with the New York Department of Financial Services, part of a broader \$2.6 billion settlement that involved the US Department of Justice and federal regulators.
- Led global FCPA compliance risk assessment in 25 countries throughout South America, Asia and Europe and worked with Chief Compliance Officer to revamp global anticorruption compliance program.
- Served as one of the team leaders conducting an investigation and producing an internal report to the board of directors for General Motors



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PRONOUNS

She / Her

CHICAGO

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PRACTICE GROUPS

Culture Risk and Sensitive Investigations
Investigations, Compliance and Defense
Monitorship Practice

INDUSTRY GROUPS

Cannabis
COVID-19 / Coronavirus Resource Center

EDUCATION

Indiana University School of Law -
Bloomington, JD, 2000; *cum laude*

University of Illinois at Urbana-Champaign,
BA, 1997; high honors- English

ADMISSIONS

Illinois, 2000

COURT ADMISSIONS

US Court of Appeals, Seventh Circuit

US District Court, Northern District of
Illinois

US District Court, Central District of Illinois

Company (GM) with regard to events leading up to certain recalls stemming from faulty ignition switches. In a 70-day period, a multidisciplinary team collected and searched in excess of 41 million documents and records and conducted more than 350 witness interviews. The firm team coordinated GM's response to several federal agencies and Congress.

- Represented healthcare client in *qui tam* complaint and Department of Justice investigation into alleged violations of the False Claims Act and Anti-Kickback Statute.
- Conducted internal investigation into alleged violations of the UK Bribery Act for US manufacturer with global distribution network, and conduct global anticorruption compliance risk post-investigation. Designed a comprehensive anticorruption compliance program to match the company's risk profile and trained global legal, compliance, finance, and audit team on strategies to mitigate corruption risks.
- Represented client in corruption investigation by Department of Justice into alleged misconduct of senior management; led the company's remediation efforts and presented compliance program improvements to Government Services Administration.
- Developed comprehensive code of conduct and compliance procedures for telecommunications client as part of consent decree by federal regulator.
- Conducted internal investigation and compliance review for real estate investment trust and advised client on compliance policies, procedures, training, and audit measures.
- Developed and conducted "business crimes 101" training for multinational client following internal investigation into fraud schemes.
- Led the negotiation and implementation of anticorruption compliance program for US-Chinese joint venture in China.

Community

- Investor and Outreach Committee Member, Women Employed

Service To The Bar

- American Bar Association
Section of Litigation, Co-Chair of Criminal Litigation Committee
- Fellow, American Bar Foundation

Publications

- The Guide to Monitorships – Third Edition, May 17, 2022
- Co-Author, Client Alert: DOJ Initiatives Aim to Empower Chief Compliance Officers and Strengthen Corporate Compliance Programs, April 6, 2022
- Co-Author, Client Alert: Deputy Attorney General Announces Significant Changes to DOJ's Corporate Criminal Enforcement Policies, November 3, 2021
- Co-Author, "Demonstrating the Effectiveness of US Government Contractors' Compliance Programs," Copyright 2021, *CEP Magazine*, a publication of the Society of Corporate Compliance and Ethics (SCCE), July 13, 2021
- Client Alert: Highlights of the American Rescue Plan Act of 2021: Updates to the Paycheck Protection Program, Additional Support for the Restaurant and Airline Industries, March 19, 2021

- Co-Author, *Anti-Corruption Enforcement 2020 Year in Review: A Guide to the FCPA, UK Bribery Act, and International Anti-Corruption Laws*, Jenner & Block, January 15, 2021
- Co-Author, "Changing Corporate Culture," *The Guide to Monitorships*, June 2020
- Co-Author, Client Alert: DOJ Updates Guidance Regarding the "Evaluation of Corporate Compliance Programs" — Key Take-Homes, June 4, 2020
- Co-Author, *Consumer Finance Observer* – Spring 2020, May 14, 2020
- Co-Author, Client Alert: Price Gouging and Law Enforcement: Is Your Compliance Program Ready?, March 30, 2020
- *Anti-Corruption Enforcement 2019-2020: A Guide to the FCPA, UK Bribery Act and International Anti-Corruption Laws*, Jenner & Block, March 25, 2020
- Co-Author, "Data Analytics in Corporate Compliance – Part Two," *Corporate Counsel*, March 12, 2020
- Co-Author, "Data Analytics in Corporate Compliance – Part One," *Corporate Counsel*, March 11, 2020
- Co-Author, *Testimonial Privileges, 2019-2020 ed*, Thomson Reuters, September 25, 2019
- Co-Author, "Changing Corporate Cultures," *The Guide to Monitorships*, June 2019
- Co-Author, *The Guide to Monitorships*, June 2019
- Co-Author, "Practical Safeguards For Employees Use of Messaging Apps," *Law360*, May 16, 2019
- Client Alert: Co-Author, DOJ Releases New Guidance on "Evaluation of Corporate Compliance Programs", May 2, 2019
- Co-Author, "Anti-Corruption Compliance: How Effective Programs Spot Issues Early," *The National Law Journal*, April 4, 2019
- Co-Author, Client Alert, "Deputy Attorney General Rod J. Rosenstein Announces Changes to Corporate Investigation Policies Regarding Individual Involvement", December 4, 2018
- Client Alert: Co-Author, Operation Car Wash Rolls into the History Books with One of the Largest Global FCPA Resolutions, October 11, 2018
- French Pharmaceutical Company Sanofi Agrees to Pay More Than \$25 Million for FCPA Violations, September 17, 2018
- *Testimonial Privileges*, Thomson Reuters Trial Practice Series, Fall 2017
- Client Alert: Personal Liability for Compliance Officer in MoneyGram Settlement: Powerful Motivator or Chilling Deterrent?, May 22, 2017
- Co-Author, "Keeping Current: DOJ Releases under the Radar Paper on 'Evaluation of Corporate Compliance Programs,'" *Business Law Today*, March 2017
- Client Alert: DOJ Releases Under-the-Radar Paper on "Evaluation of Corporate Compliance Programs", February 21, 2017
- "Anticorruption Compliance for US-Chinese Joint Ventures," *Corporate Counsel*, January 14, 2014
- "Pressing the Soft Spots," *Corporate Counsel*, December 26, 2013

- "New Federal Rule of Evidence 502: A Tool for Minimizing the Cost of Discovery," *Bloomberg Litigation Reporter*, January 26, 2009
- "How To Conduct Internal Corporate Investigations After Sarbanes-Oxley," *ALI-ABA Business Law Course Materials Journal*, December 2004
- Client Advisory: SEC Issues Final Rules Regarding Obligations of Attorneys to Report Evidence of Securities Law, Fiduciary Duty and Similar Violations, February 2003

Speaking Engagements

- Panelist, "Mentoring, Celebrating, Empowering: A Conversation with Distinguished Alumnae," Indiana University Maurer School of Law's Women's Law Caucus and the Feminist Law Forum, February 16, 2022
- "Changing and Maintaining Your Culture of Compliance – Through the Eyes of a Government-Appointed Monitor", February 12, 2019
- "Recent Developments on Internal Investigation Practices," ABA Section of Litigation Annual Conference, May 02, 2018
- "Strategies for Effective Corporate Compliance Programs: Dos and Don'ts," ABA Section of Litigation's Criminal Litigation Committee, April 04, 2018
- "Developing an Audit Checklist: Essentials for Your Anti-Corruption Toolkit," Ethisphere Webcast, December 16, 2013
- "Protecting Your Business From Within," TechLaw Group, Inc. and Jenner & Block, October 09, 2013
- "Investigations: Which Functions to Involve, What to Communicate, and When," Ethics and Compliance Officer Association's 21st Annual Conference, September 25, 2013