

HOWARD S. SUSKIN, Partner

Howard S. Suskin is a litigator with substantial first-chair experience in civil and criminal securities matters. He is co-chair of the firm's Securities Litigation and Enforcement Practice and the Class Action Practice. Individuals and businesses seek his counsel in such matters as class actions alleging securities fraud and misrepresentation claims, derivative actions claiming breach of fiduciary duty, contests for corporate control, shareholder demands for corporate books and records under Delaware General Corporate Law Section 220 and other similar state statutes, insider trading investigations and broker-dealer disputes. Mr. Suskin also counsels and represents clients regarding securities administrative and self-regulatory organization investigations and proceedings and in arbitrations.

Members of the Leading Lawyers Network have consistently recognized Mr. Suskin's work in several areas including class actions, commercial litigation, alternative dispute resolution, and securities and venture financing law.

He has been named one of the "*Best Lawyers in America*" for commercial litigation. *Illinois Super Lawyers* named him a "Top 100 Illinois Super Lawyer" nine times since 2007 and a "Top 10 Super Lawyer" in 2015.

In 2010, he received the firm's inaugural Associate Committee Mentorship Award.

He has served on the firm's Management Committee and currently serves on the Associate Development and Evaluation and Diversity & Inclusion Committees.

Ranked Band 2 in Securities Litigation (IL) by *Chambers USA*, Mr. Suskin is recognized as a well-regarded securities litigator who defends against class and derivative actions. "He displays impeccable judgment and remains perennially unflappable, and is a great source of legal and strategic comfort," notes a client to *Chambers* researchers.

Mr. Suskin has published articles and spoken extensively on issues relating to class actions and securities law.

He is a member of the Advisory Board of *Board IQ*, a *Financial Times* publication, has served on the Securities Editorial Board of *Law 360* and serves on the faculty of Practising Law Institute.

Mr. Suskin is an active member of the ABA Securities Law Committee, including serving as co-chair of the Class and Derivative Actions Subcommittee.

He serves as the Chicago Bar Association's General Counsel and is a member of its Judicial Evaluation Committee.

He also serves as an arbitrator for the American Arbitration Association and for securities self-regulatory organizations including FINRA, Chicago Board Options Exchange and the National Futures Association.



HOWARD S. SUSKIN Partner

PRONOUNS

He / Him

CHICAGO

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PRACTICE GROUPS

Class Action

Consumer Law

Financial Services Litigation

International Arbitration

Litigation

Markets and Trading

Securities Litigation and Enforcement

EDUCATION

University of Michigan Law School, JD, 1983; with honors; Editor, *Michigan Law Review*

Northwestern University, BA, 1980; with distinction, Phi Beta Kappa

ADMISSIONS

Illinois, 1983

COURT ADMISSIONS

US Supreme Court, 2010

US Court of Appeals, Third Circuit, 2017

US Court of Appeals, Fourth Circuit, 1987

US Court of Appeals, Seventh Circuit, 1984

US Court of Appeals, Sixth Circuit, 1985

US Court of Appeals, Eleventh Circuit, 2010

US District Court, Northern District of Illinois, 1983

US District Court, Northern District of Illinois (Trial Bar), 1988

Representative Cases:

- *Schmidt v. Golden Star Resources Ltd.*, No. 2:20-cv-04701 (C.D. Cal. 2020)(obtained early-stage dismissal of putative securities class action)
- *In re Lannett Company Derivative Litigation*, No. 1:19-cv-00888 (D. Del. 2020)(successfully rebuffed objectors' challenges to settlement of shareholder derivative lawsuit)
- *Zalmanoff v. Hardy*, No. 609, 2019 (Del. S.Ct. 2019) (affirming motion to dismiss putative shareholder class action with prejudice)
- *Errol Brown v. InnerWorkings, Inc.*, No. 8:18-cv-00832-CJC-KES (C.D. Cal. 2019) (granting motion to dismiss putative shareholder class action with prejudice)
- *City of Tamarac Firefighters Pension Trust Fund v. Carolyn Corvi, et al*, No. 2017-0341-AGB (Del. Ch. 2019) (granting motion to dismiss putative shareholder derivative action with prejudice)
- *Green v. Morningstar*, No 17-CV-05652 (N.D. Ill. 2019) (granting motion to dismiss putative investor class action with prejudice)
- *In re Hertz Global Holdings Inc.*, No. 17-2200 (3rd Cir. 2018) (affirming motion to dismiss putative shareholder class action with prejudice)
- *In re Merge Healthcare Securities Litigation*, 2017 WL 395981 (Del. Ch.) (2017) (granting motion to dismiss putative shareholder class action with prejudice)
- *Hazen v. Merge Healthcare*, No. 2015 CH 12090 (Cook County, IL) (2017) (granting motion to dismiss putative shareholder class action with prejudice)
- *In re Force Protection Shareholder Litigation*, No. A-11-651336 (Clark Cty, Nev.) (2015) (granting motion to dismiss putative shareholder class action with prejudice)
- *Garden City Employees Retirement System v. Anixter International*, No. 09-05641 (N.D. Ill.) (2012) (granting motion to dismiss putative shareholder class action with prejudice)
- *Prissert v. Emcore Corporation*, No. 08-cv-01190-MV-KBM (D. New Mexico) (2012) (granting motion to dismiss putative shareholder class action with prejudice)

Professional Activities:

Awards

- *Best Lawyers in America* - 2013-2021
Commercial Litigation
Litigation - Securities
- *Chambers USA*
Litigation: Securities (Illinois) - 2020
- *Illinois Super Lawyers*
Securities Litigation - 2006-2020
Top 10 Illinois Super Lawyers - 2015
Top 100 Illinois Super Lawyers - 2007-2010, 2014-2018

- Jenner & Block
Associate Committee Inaugural Mentorship Award - 2010
- Leaders League *Litigation & Competition* Directory
Securities Litigation - 2018
- Leading Lawyers Network
Class Action/Mass Tort Defense Law - 2005-2020
Commercial Litigation - 2005-2020
ADR Law: Commercial Litigation - 2005-2020
Securities & Venture Finance Law - 2005-2020
- *Legal 500*
Dispute Resolution - Securities Litigation - Defense - 2016-2021

Community

- American Civil Liberties Union
Board Member
- Jewish Child and Family Services
Board Member

Industry Organizations

- American Arbitration Association
Arbitrator
- *Board IQ*, a *Financial Times* publication
Advisory Board
- Chicago Board Options Exchange
Arbitrator
- FINRA
Arbitrator and Mediator
- The Deal
Advisory Panel
- National Futures Association
Arbitrator

Service To The Bar

- American Bar Association
Co-Chairman, Sub-Committee on Class Action and Derivative Suits
Coordinating Editor, Section of Litigation, *Survey of State Class Action Law* (2002-2017 editions)
- Chicago Bar Association
General Counsel, 2013-present
Member, Board of Managers, 2011-2013
Chairman, Bench & Bar Committee, 2006-2007
Chairman, Class Action Committee, 2005-2006

Chairman, Financial & Investment Services Committee, 2007-2008
Chairman, Securities Law Committee, 1997-1998
Member, Judicial Evaluation Committee

- Chicago Bar Foundation
Member, Cy Pres Committee, 2005-present
- Circuit Court of Cook County, Arbitrator
- Practising Law Institute, Faculty Member
Co-Chair, Annual Class Action Symposium, New York, NY, 2010-2020

Publications

- Co-Author, "Supreme Court Gives More Tools for Defendants to Challenge Class Certification in Securities Fraud Cases," Harvard Law School Forum on Corporate Governance, July 7, 2021
- Co-Author, Client Alert: Supreme Court Gives More Tools for Defendants to Challenge Class Certification in Securities Fraud Cases, June 24, 2021
- *Illinois Practical Guidance Practice Notes*, LexisNexis, June 4, 2021
- Co-Author, Client Alert: Recent Legislation Expands SEC's Ability to Pursue Disgorgement, January 8, 2021
- Co-Author, "Two Circuits Hold Statute of Limitations Does Not Apply to SEC Employment, Officer, and Director Bars," *ABA Securities Litigation Journal*, January 5, 2021
- *Federal Class Action Deskbook*, Lexis Nexis, 2021 Edition
- Co-Author, Client Alert: Two Circuits Hold Statute of Limitations Does Not Apply To SEC Employment, Officer, and Director Bars, November 11, 2020
- "Litigation Risks Facing Directors and Officers," *Financier World Wide*, October 2020
- Co-Author, "New Covid-19 Securities Developments: Class Action Omissions Theory and SEC Enforcement Actions," *ABA's Securities Litigation*, August 13, 2020
- Co-Author, *Class Actions*, Illinois Institute for Continuing Legal Education, 2020
- Co-Author, Client Alert: Practical Implications of Supreme Court's Decision Related to SEC's Disgorgement Remedy, June 30, 2020
- *Illinois Litigation Practice Series*, Lexis Nexis, 2020
- Co-Author, "Delaware Supreme Court Declares Federal Forum Provisions in Corporate Charters are 'Facially Valid'," *ABA Securities Litigation Reporter*, Spring 2020
- Coordinating Editor, *The Law of Class Action: 50 State Survey 2020*, ABA Class Actions and Derivative Suits Committee, 2020
- Co-Author, Client Alert: New COVID-19 Securities Developments: Class Action Omissions Theory and SEC Enforcement Actions, April 29, 2020
- Co-Author, "11th Circ. Ruling Deepens 'Event or Occurrence' Circuit Split," *Law360*, April 28, 2020
- Co-Author, "SEC Investigation Shows Maturation of Cannabis Scrutiny," *Law360*, April 1, 2020

- Co-Author, Client Alert: First COVID-19 Securities Class Action Lawsuits Hit Cruise Line and Pharmaceutical Company, March 18, 2020
- Client Alert: Co-Author, Seventh and DC Circuits Allow Nationwide Class Actions with Claims of Out-of-State Plaintiffs after *Bristol-Myers Squibb*, March 13, 2020
- *The Federal Class Action Deskbook*, Lexis/Nexis, 2020
- Coordinating Editor, *The Law of Class Action: Fifty-State Survey 2019*, ABA Book Publishing, 2019
- Co-Author, "#MeToo: Legal and Practical Concerns for Companies and Boards," *Financier Worldwide*, September 2019
- Co-Author, "Second Circuit Creates Split on Investment Company Act Private Right of Action," and "Eighth Circuit Reminds: The First Principle of Arbitration Is Get Consent," *ABA Securities Litigation Journal*, Summer 2019
- Editor, *Illinois Civil Litigation*, LexisNexis, 2019
- Co-Author, "Recent Noteworthy Class Action Decisions," *Practising Law Institute's Course Handbook Series: Class Action Litigation*, 2019
- Client Alert: Co-Author, US Supreme Court Holds that Classwide Arbitration is Unavailable Unless the Parties Clearly Agree to It, April 26, 2019
- Co-Author, Client Alert: The Supreme Court Raises Questions About Private Rights of Action to Challenge Tender Offers Under Exchange Act § 14(e), April 25, 2019
- Co-Author, "You May Never Be Free Of Liability, If The SEC Has Its Way," *Risk & Compliance*, April-June 2019
- *Federal Class Action Deskbook*, LexisNexis, 2019
- "After *Kokesh*, Does the SEC Have a New Time Limit for Claims Seeking an Officer or Director Bar?" *ABA Securities Litigation Journal*, August 25, 2018
- Co-Author, "SEC Private Equity Enforcement: A More Aggressive Approach," *American Bar Association Securities Litigation Journal*, July 10, 2018
- Client Alert: The Supreme Court Reaffirms The Reach And Force Of The Federal Arbitration Act, This Time In Employment Cases, May 22, 2018
- *Federal Class Action Deskbook*, Lexis-Nexis, 2017
- Co-Author, Client Alert: Supreme Court Holds That Statute of Repose Imposes Absolute 3-Year Bar on Opt-Out Claims, June 30, 2017
- Co-Author, "Recent Noteworthy Class Action Decisions," *Class Action Litigation*, 2017
- Co-Author, Client Alert: *Kokesh v. SEC*, June 8, 2017
- "SEC Private Equity Enforcement: A More Aggressive Approach," *Compliance & Enforcement*, April 11, 2017
- Co-Author, "Class Actions," Chapter in *Illinois Causes of Action*, Illinois Institute of Continuing Legal Education, 2016
- *Federal Class Action Deskbook* LexisNexis, 2017
- Coordinating Editor, *Survey of State Class Action Law 2016-17*, American Bar Association, 2016

- Co-Author, "Recent Noteworthy Class Action Decisions," *Class Action Litigation*, 2016
- Coordinating Editor, *Survey of State Class Action Law 2015-16: A Report of the State Laws Subcommittee of the Class Actions and Derivative Suits Committee of the American Bar Association*, 2015
- *Federal Class Action Deskbook*, LexisNexis, 2016 Edition
- Co-Author, *Class Actions 2015 Edition*, Illinois Institute for Continuing Education, 2015
- Co-Author, "Recent Noteworthy Class Action Decisions," *Class Action Litigation*, 2015
- Co-Author, "Using Forum Selection Clauses to Avoid Default Arbitration Rules," *Transaction Advisors*, May 2015
- Co-Author, "Using Forum Selection Clauses to Avoid Default Arbitration Rules," *New York Dispute Resolution Lawyer*, Spring 2015
- Co-Author, Client Alert: Companies Should Review Confidentiality, Severance and Other Agreements in View of New SEC Whistleblower Case, April 8, 2015
- "Courts Split on SEC Forms 4 for the Truth of Their Contents on Motions to Dismiss," *ABA Securities Litigation Journal*, Winter 2015
- Co-Author, Client Alert: *U.S. v. Newman*: Second Circuit Reverses Remote Tippees' Convictions for Insider Trading Where Tippers Never Charged, December 22, 2014
- Author, *Federal Class Action Deskbook*, LexisNexis, 2015
- Coordinating Editor, *Survey of State Class Action Law 2014-15: A Report of the States Laws Subcommittee of the Class Actions and Derivative Suits Committee of the ABA Section of Litigation*, 2014
- Co-Author, "Recent Noteworthy Class Action Decisions," *Class Action Litigation 2014*, Practising Law Institute, 2014
- Client Alert: *Halliburton*: The Supreme Court Upholds Fraud-on-the-Market but Allows Defendants to Disprove Price Impact, June 27, 2014
- "When the Arbitration Forum Is Unavailable: What Happens Next?" *Alternative Dispute Resolution*, February 2014
- Co-Author, *Federal Class Action Deskbook*, LexisNexis, 2014
- Co-Editor, *Illinois Causes of Action: Estate, Business & Nonpersonal Injury Actions*, 2014
- Co-Editor, *Illinois Civil Litigation Guide*, Illinois Practice Series, Thomson Reuters, 1998-2014
- *Survey of State Class Action Law-2013-2014: A Report of the State Laws Subcommittee of the Class Actions and Derivative Suits Committee*, ABA Section of Litigation, 2013
- "Ex-Colorado Fund Finance Chief Slapped for Lying to CCO," *BoardIQ*, September 10, 2013
- "Disclosure Edits Don't Mean Early Versions Faulty," *BoardIQ*, July 30, 2013
- Editor and Co-Author, "Recent Noteworthy Class Action Decisions," *Federal Civil Practice Update 2013: A Practical Guide to New Developments, Procedures & Strategies*, Practising Law Institute, 2013
- *The Law of Class Action: Fifty-State Survey, 2012-2013*, ABA Section of Litigation Class Actions and Derivative Suits Subcommittee on State Laws, 2013
- "The Use of Sarbanes-Oxley Certifications to Plead Scienter," *The Review of Securities & Commodities Regulation*, April 3, 2013

- *Federal Class Action Deskbook*, LexisNexis, 2013
- "Staying Shareholder Derivative Suits in Favor of Related Securities Class Actions," *ABA Securities Litigation Journal*, December 26, 2012
- "Class Action Notices Under Fed.R.Civ.P. 23," *IICLE Class Actions 2013 Edition*, 2014
- Co-Author, "Can an Arbitrator Order Prehearing Discovery from a Nonparty?," *The Dispute Resolver*, An ABA Forum Newsletter, September 2012
- Editor and Co-Author, "Recent Noteworthy Class Action Decisions," *Federal Civil Practice Update 2012: A Practical Guide to New Developments, Procedures & Strategies*, Practising Law Institute, 2012
- *The Law of Class Action: Fifty-State Survey, 2011-2012*, ABA Section of Litigation Class Actions and Derivative Suits Subcommittee on State Laws, 2012
- "Enforcing Third-Party Discovery in Arbitration," *American Bar Association Litigation News*, Winter 2012
- Co-Author, "When is the Statute of Repose Period for Federal Securities Fraud Claims Triggered?" *Review of Securities & Commodities Regulation*, February 2012
- Co-Author, *Federal Class Action Deskbook*, LexisNexis, 2012
- Columnist, *Lexology*, Association of Corporate Counsel, 2011-2013
- "The Ethical ADR Lawyer," *The Role of Ethics in ADR*, Aspatore Books, 2011
- Executive and Coordinating Editor, "Survey of State Class Action Law 2011: A Report of the State Laws Subcommittee of the Class Actions and Derivative Suits Committee of the ABA Section of Litigation," *American Bar Association Section of Litigation*, 2011
- "Can an Arbitrator Order Prehearing Discovery from a Nonparty?" *ABA Securities Litigation Journal*, Fall 2011
- Co-Author, "Recent Noteworthy Class Action Decisions," *Federal Civil Practice Update 2011: A Practical Guide to New Developments, Procedures & Strategies*, Practising Law Institute, July 2011
- Co-Author, "Class Action," *Illinois Causes of Action: Estate, Business & Non-Personal Injury Actions*, Illinois Institute for Continuing Education Treatise, 2011
- Co-Author, "Benefits and Risks Associated with Medallion Signature Guarantees," *American Bar Association Securities Litigation Journal*, April 2011
- Co-Author, "Courts Split on Contractual Waiver of Judicial Review of Arbitration Awards," *Asbestos*, Westlaw Journal, March 18, 2011
- "Delaware Disparity on Disclosing Merger Projections," *Securities Law360*, February 4, 2011
- Co-Author, "Circuits Split Over Whether the PSLRA's Safe Harbor Applies to Knowing Misrepresentations," *American Bar Association's Securities Litigation Journal*, November 2010
- "Survey of State Class Action Law 2010: A Report of the State Laws Subcommittee of the Class Actions and Derivative Suits Committee," *American Bar Association Section of Litigation*, 2010
- Editor and Co-Author, "Recent Noteworthy Class Action Decisions," *Federal Civil Practice Update 2010: A Practical Guide to New Developments, Procedures & Strategies*, Practising Law Institute, 2010

- "Enforcement of Arbitration Awards - Judicial Review of Arbitrators' Awards of Attorney's Fees for Manifest Disregard of the Law," *Arbitration Awards: Demystification of Myth*, Amicus Books, Icfai University Press, 2010
- "Removal Under CAFA: The Emerging Judicial Split Over Whether A Defendant May Rely On Its Own Documents To Establish That The Amount In Controversy Exceeds The \$5 Million Statutory Threshold," *Mealey's Litigation Report: Class Actions*, October 2009
- "Combining '33 and '34 Securities Act Plaintiffs in the Same Class," *Law.com*, September 8, 2009
- "*Beck v. Dobrowski*: The Application of the PSLRA and *Bell Atlantic* to Section 14(a) Securities Claims," *American Bar Association Securities Litigation Journal*, July 2009
- "Removal Under CAFA: The Judicial Split Over Proving the Amount In Controversy," *Mealey's Litigation Report: Class Actions*, May 2009
- "May Arbitrators Issue Discovery Subpoenas To Non-Parties? - The Deepening Split Among the Circuits," *Bloomberg Law Reports: Litigation*, March 30, 2009
- "Protecting Proffer Session Statements from Being Used in Civil Litigation," *American Bar Association's Committee on Pretrial Practice & Discovery Newsletter*, March 2009
- "May Courts Assist Private International Arbitration?" *Law.com*, March 19, 2009
- "Are Sarbanes-Oxley Whistleblower Claims Arbitrable?" *Securities Litigation Journal*, American Bar Association, Winter 2009
- Consulting Editor, "Federal Civil Motion Practice," *Moore's AnswerGuide*, LexisNexis, 2009
- Consulting Editor, "Federal Discovery Practice," *Moore's AnswerGuide*, LexisNexis, 2009
- *Illinois Civil Litigation Guide*, Illinois Practice Series, Thomson West, 2009-2010
- *Survey of State Class Action Law 2009: A Report of the State Laws Subcommittee of the Class Actions and Derivative Suits Committee of the ABA Section of Litigation*, American Bar Association Section of Litigation, 2009
- "Deadline for Confirming an Arbitration Award: The Sharpening Split Among the Courts," *Bloomberg Law Reports: Litigation*, November 3, 2008
- "Arbitrability of USERRA Claims: Battle on the Home Front," *Law.com*, October 15, 2008
- "Protecting Proffer Session Statements from Being Used in Civil Litigation," *American Bar Association Securities Litigation Journal*, September 2008
- *Survey of State Class Action Law 2008: A Report of the State Laws Subcommittee of the Class Actions and Derivative Suits Committee of the ABA Section of Litigation*, American Bar Association Section of Litigation, 2008
- "When Does an Employee's Silence Signify Agreement to a Mandatory Arbitration Contract?" *Employee Relations Law Journal*, Vol. 34, No. 1, Summer 2008
- "Class Action," *Illinois Causes of Action - Elements, Forms, & Winning Tips: Estate, Business & Non-Personal Injury Actions*, Illinois Institute of Continuing Legal Education, 2008
- "Using Sarbanes-Oxley Certifications to Plead Scienter," *Securities Litigation Journal*, Vol. 18, No. 3, April 2008
- "In the Wake of *Dura Pharmaceuticals*: How Courts are Addressing Key Loss Causation Issues," *Bloomberg Law Reports: Securities Law*, Vol. 2, No. 11, March 2008

- "When Do Indemnity Claims for Product Liability Accrue?," *Andrews Litigation Reporter: Products Liability*, Thomson/West, Vol. 19, No. 4, March 2008
- "May Absent Class Action Plaintiffs Have Access To Class Counsel's Files?" *Mealey's Litigation Report: Class Actions*, Vol. 8, March 2008
- "Enforceability of Arbitration Agreements that Restrict Statutorily-Mandated Rights: The Sharpening Split Among the Circuits," *Bloomberg Law Reports: Litigation*, January 14, 2008
- Consulting Editor, "Federal Pretrial Civil Litigation," *Moore's AnswerGuide*, 2008
- *Illinois Civil Litigation Guide*, Illinois Practice Series, Thomson West, 2007-2008
- "Discovery Stays under the PSLRA," *Securities Litigation Journal*, Vol. 18, No. 1, November 2007
- *Survey of State Class Action Law 2007: A Report of the State Laws Subcommittee of the Class Actions and Derivative Suits Committee*, American Bar Association Section of Litigation, 2007
- "Understanding Complete And Conflict Preemption Under ERISA: A Primer For Lawyers," *Mealey's Litigation Report: ERISA*, Vol. 6, No. 4, August 2007
- "The Judicial Divide Regarding Application of the Federal Arbitration Act for Warranty Claims," *Law.com*, July 17, 2007
- "The Burden of Proof Under CAFA: Removal and Remand," *Andrews Litigation Reporter*, Thomson/West, Vol. 14, No. 4, May 2007
- "Courts Rule Consumers Cannot Challenge Arbitration Clauses Until They Are Invoked," *Bloomberg Corporate Law Journal*, Vol. 2. Issue 1, January 2007
- "A Cautionary Reminder About the Unique Application Of the Federal Arbitration Act in State Court Proceedings," *Securities Regulation & Law Report*, Vol. 38, No. 48, December 2006
- "Circuits Are Split: What Authority Does a District Court Have When the Arbitration Clause Selects an Outside Forum?" *Securities Litigation Journal*, American Bar Association Section on Litigation, Vol. 16, Winter 2006
- Client Alert: Illinois Supreme Court Rules Class Action Not Permitted for Mass Tort Case, December 1, 2006
- "Are District Court Proceedings Stayed Pending Appeal of Arbitrability Question?" *Securities Litigation Journal*, Vol. 17, No. 1, Fall 2006
- "New Developments on the Standard for Finding 'Evident Partiality'," *Bloomberg Law Reports*, Vol. 2, No. 7, August 2006
- "Circuits Split On A Court's Authority To Compel Arbitration In Other Districts," *Bloomberg Law Reports*, Vol. 2, No. 1, January/February 2006
- Synopsis, Class Action Fairness Act of 2005, February 2005
- Co-Author, "Discovery," *Federal Litigation Guide*, Vol. 2, LexisNexis, 2005
- "E-Mails May Be Expensive To Your Wealth," *Securities News*, Vol. 13, Winter 2004
- "Under the Gun," *The Daily Deal*, June 17, 2003
- "Civil Liabilities and Remedies," *Securities Law*, Illinois Institute of Continuing Legal Education, 2003

- "Nationwide Class Actions," *Chicago Daily Law Bulletin*, 2001
- "Class Actions," *Moore's Federal Practice*, 3rd Edition, Vol. 5, Chapter 23, 1997
- "Advising Clients About Securities Arbitrations," *ABA Securities News*, Winter 1996
- "Special Problems of Insiders," *Securities Law*, 1996
- "Recent Trends in Companies' Liability for Analyst Reports," *Expert Witness*, Summer 1995
- "Annual Survey," *Securities Arbitration Issues*, 1995-1998
- "Annual Symposium on Criminal Law Issues," *21 Loyola Law Review* 349, Winter 1990
- "Collateral Estoppel and the Compromise Verdict," *18 Int'l. Soc. Bar. Q.* 354, 1983
- "The Court Years," *80 Michigan Law Review* 781, 1982

Speaking Engagements

- Panelist, "Director & Officer Liability: New Risks and Emerging Trends," Northwestern Pritzker School of Law, CLE, September 24, 2021
- Co-Chair, "Class Action Litigation 2021," PLI, May 26, 2021
- "Tips and Techniques for Writing Effective Legal Briefs," Chicago Bar Association, April 19, 2021
- Panelist, "Litigating Securities Cases in the 21st Century: The View from Both Sides," Lawline, April 06, 2021
- Presenter, "Building Confidence in Our Legal System," Illinois Judges Association and the Illinois Supreme Court Commission on Professionalism, March 18, 2021
- "Counsel Standing at the Crossroads: To Litigate or Arbitrate?" Chicago Bar Association, January 11, 2021
- "Decision to Arbitrate or Litigate," Lorman, July 09, 2020
- Co-Chair, "Class Action Symposium 2020," Practising Law Institute, New York City, June 01, 2020
- "Writing to Win: The Fundamentals of Legal Writing," Lorman Educational Services, December 02, 2019
- "Deciding Whether to Arbitrate or Litigate," Clear Law Institute, November 20, 2019
- Panelist, "Class Actions and Accountability in Finance," Loyola University Chicago, October 25, 2019
- "Litigation or Arbitration? Considerations in Selecting the Forum for a Dispute" Lawline, June 04, 2019
- Co-Chair, Class Action Litigation Symposium, Practising Law Institute, June 03, 2019
- "Model Errors: Newly Re-Discovered by Regulators and the Plaintiffs' Bar as the Achilles Heel of ERM Programs" and "Preparing for Shareholder and Other Litigation," 39th Annual Ray Garrett Jr. Corporate and Securities Law Institute at Northwestern Pritzker School of Law in Chicago, April 11, 2019
- "Tips and Techniques for Effective Legal Brief Writing," Clear Law Institute, January 11, 2019
- "Decision to Litigate or Arbitrate," Lorman Education Services, November 05, 2018
- Co-Chair, "Class Action Litigation 2018," Practising Law Institute, June 21, 2018

- "Practice Tips for Effective Legal Writing," Lawline, June 20, 2018
- Panelist, "Developments and Trends in Class Action Opt-Outs," ABA Litigation Section Annual Conference, May 03, 2018
- Partner Howard Suskin Hosts Chicagoland Out & Equal's Citywide Pride Kickoff, May 01, 2018
- "Managing D&O Risks: Shareholder Activists Driving Litigation," *Risk & Compliance*, January 2018
- "The Dodd Frank Death Knell," Annual Institute for Investor Protection Roundtable Conference, October 20, 2017
- Co-Chair, "Class Action Litigation 2017," Practising Law Institute, June 21, 2017
- "Update on Securities, Corporate Governance & Appraisal Actions," Perrin Class Action Litigation Conference, May 31, 2017
- "Tips and Techniques for Writing Effective Legal Briefs" National Lorman Webinar, April 19, 2017
- Panelist, "Investigations," Management Liability Practice Program, March 21, 2017
- Panelist, "Practical Tips for Arbitration from Plaintiff and Defense Perspectives," Chicago Bar Association, October 11, 2016
- Panelist, "Lessons Learned: The Impact of Civil Litigation and Regulation on Criminal Activity in the Financial Sector," Annual Institute for Investor Protection Conference, October 07, 2016
- Co-Chair, "Class Action Litigation 2016," Practising Law Institute, July 07, 2016
- "Writing Effective Legal Briefs," Clear Law Institute, April 25, 2016
- Panelist, "Building Your Solo or Small Practice: ADR & Other Techniques," Chicago Bar Association, February 02, 2016
- Co-Chair, Class Action Litigation 2015, Practising Law Institute, July 08, 2015
- "Strategic M&A Involving Public Companies," Thomson Reuters' Midwestern M&A and Private Equity Forum, May 13, 2015
- Perrin Conferences Class Action Litigation Conference, May 07, 2015
- "Class Action Litigation 2014," Practising Law Institute, July 09, 2014
- "*Haliburton V. Erica P. John Fund*: What's the Practical Impact of the Supreme Court's Opinion on Securities Class Actions?" Practising Law Institute, July 01, 2014
- SEC & DOJ Hot Topics 2014 Symposium, February 11, 2014
- "Are We About to Witness the End of Securities Class Actions?" Chicago Bar Association, December 18, 2013
- "Litigating Class Actions," Law Seminars International Conference, December 09, 2013 to December 10, 2013
- "Class Action Litigation 2013," Practising Law Institute, New York, NY, July 10, 2013
- "Tips and Techniques for Writing Effective Legal Briefs," Lorman Education Services, June 17, 2013
- "Commencing the Investigation: Considerations at the Outset," Practising Law Institute's Internal Investigations 2013 Program, Chicago, IL, June 11, 2013

- “*Comcast v. Behrend*: What Are the Implications for Securities Fraud Actions?,” Securities Reform Act Litigation Reporter Symposium, April 01, 2013
- “State of Flux: The Changing Landscape of Securities Litigation,” Chicago Bar Association Securities Law Seminar, March 21, 2013
- “U.S. Supreme Court Review: How Will Recent and Upcoming Decisions Affect Securities Litigation Practice?” Chicago Bar Association, February 12, 2013
- “The London InterBank Offered Rate (LIBOR) Litigation Landscape,” LexisNexis Emerging Issues Webinar, October 16, 2012
- Panelist, Loyola University Chicago School of Law Annual Institute for Investor Protection Conference, Chicago, IL, October 05, 2012
- Chair, “Federal Civil Practice Update 2012: A Practical Guide to New Developments, Procedures & Strategies” Practising Law Institute, Chicago, IL, October 03, 2012
- Chair, “Class Action Litigation 2012,” Practising Law Institute, New York, NY, July 11, 2012
- “Recent Developments in Class Actions,” Chicago Bar Association Class Action Litigation Committee, Chicago, IL, May 22, 2012
- Annual Meeting of Credit Union General Counsel, Chicago, IL, May 02, 2012 to May 03, 2012
- “Impact and Implications of Dodd-Frank,” Credit Union General Counsel Symposium, Chicago, IL, May 01, 2012
- “Percolating Topics for 2012: PWC Study Finds Changing Litigation Landscape,” PricewaterhouseCoopers, Chicago, IL, April 17, 2012
- Panelist, “The Dodd-Frank Act: Advising Your Corporate Client in a New Regulatory Environment,” Chicago Bar Association Financial & Investment Services Committee and Corporate Practice Committee, Chicago, IL, October 11, 2011
- “Federal Civil Practice Update 2011: A Practical Guide to New Developments, Procedures & Strategies,” Practising Law Institute, Chicago, IL, October 05, 2011
- “What’s New in the Defense of Claims Against Directors and Officers?” Crittenden Middle Market Insurance Accounts Conference, Chicago, IL, September 13, 2011
- Co-Chair, “Class Action Strategies 2011,” Practising Law Institute, New York, NY, July 21, 2011
- Panelist, “Securities Litigation Goes Global: Unique Issues Posed by Securities Litigation and Enforcement in a Globalized Economy,” International Law Section of the California Bar Association Webinar, June 29, 2011
- Panelist, “Erica P. John Funds and Janus Capital Group: Supreme Court Addresses Class Certification and Secondary Liability in Key Securities Litigation Rulings.” Practising Law Institute, Chicago, IL, June 22, 2011
- “Hot Topics in D&O,” Professional Liability Underwriting Society, Chicago, IL, June 01, 2011
- “CEI 3.0 - Changes to the Human Rights Campaign Corporate Equality Index in 2011,” LGBT Law Firm Roundtable Discussion Group, Chicago, IL, February 16, 2011
- “Developments in Securities Class Action Litigation,” Chicago Bar Association's Class Litigation Committee, Chicago, IL, January 26, 2011

- Chicago Bar Association's Securities Law and Financial & Investment Services Committees, Chicago, IL, December 14, 2010
- Delegation of the China Institutes of Contemporary International Relations, Chicago, IL, October 15, 2010
- Co-Chair, "Class Action Litigation Strategies 2010," Practising Law Institute, New York, NY, July 22, 2010
- "Recent Developments in Secondary Liability Theories Against Securities Attorneys," The Chicago Bar Association's Securities Law Committee, Chicago, IL, November 19, 2009
- Panelist, "Surveying and Surviving the Wreckage Below: All About Excess," Professional Liability Underwriting Society International Conference, Chicago, IL, November 11, 2009 to November 13, 2009
- "Legal Perspectives on Third Parties' Liabilities for Madoff's Fraud," Illinois CPA Society 2009 Fraud Conference, Chicago, IL, November 11, 2009
- "Managing Complex Federal Litigation," The Practising Law Institute, Chicago, IL, October 07, 2009
- "Positioning the Class Action Defense for Early Success," American Conference Institute's 3rd Annual Defense Counsel Forum, Phoenix, AZ, September 23, 2009 to September 24, 2009
- "The Recent Debt Crisis and How It's Affecting FINRA Arbitration," HB Litigation Teleconference, June 02, 2009
- "Insurance Coverage Litigation Arising Out of the Financial Crisis: What Policyholders and Carriers Need to Know," HB Litigation Conferences, New York, NY, March 18, 2009
- "Class Actions," MentorCLE Video Course, December 29, 2008
- "Managing Complex Federal Litigation: A Practical Guide to New Developments, Procedures, and Strategies," Practising Law Institute, Chicago, IL, July 30, 2008
- "The Art of Legal Writing," Lorman Education Services Teleconference, April 14, 2008
- Panelist, "Rapidly Developing Pleadings Standards in Securities Cases," DC Bar, Washington, DC, January 29, 2008
- "Litigation Skills for Legal Staff in Illinois," Lorman Education Services Seminar, Chicago, IL, January 25, 2008
- "Litigating a Securities Class Action: From Beginning to End," Chicago Bar Association, Chicago, IL, November 29, 2007
- Panelist, "The Latest Word: SEC Current Enforcement and Disclosure Issues," Chicago, IL, November 29, 2007
- "Recent Developments in Class Actions and the Impact of the Class Action Fairness Act," LexisNexis Moore's Rules of Federal Practice Teleconference, November 07, 2007
- "The Next Generation in Corporate Compliance," Jenner & Block, Navigant Consulting, Inc., and PricewaterhouseCoopers - Securities Litigation & Compliance for 2008: Expect the Unexpected, Chicago, IL, October 26, 2007
- "The Art of Legal Writing," Lorman Educational Services Teleconference, November 17, 2006
- "Federal Civil Practice 2006," Practising Law Institute, Chicago, IL, November 13, 2006
- "Discovery Skills For Legal Staff in Illinois," Lorman Education Services Seminar, Chicago, IL, June 28, 2006
- "The Art of Legal Writing," Lorman Education Services Teleconference, May 10, 2006

- “Attorney-Client Privilege Considerations – Current Issues,” Lorman Education Services Teleconference, January 20, 2006
- Moderator, American Bar Association Section of Litigation National Institute on Class Actions, Chicago, IL, September 23, 2005
- “Between Scylla and Charybdis: Successfully Defending Multi-Pronged Securities Litigation in the Post-Enron Era,” Chicago Bar Association Securities Law Committee Meeting, Chicago, IL, September 15, 2005
- “Taking and Defending Effective Depositions in Illinois,” Lorman Education Services Seminar, Chicago, IL, July 13, 2005
- Panelist, “Hot Topics in Securities Arbitration and Mediation,” National Association of Securities Dealers Spring Securities Conference, Chicago, IL, May 24, 2005 to May 26, 2005
- “Conducting Arbitration Before the NASD: The Process,” The Chicago Bar Association Securities Law Institute, Chicago, IL, March 15, 2005
- “Taking and Defending Effective Depositions in Illinois,” Lorman Educational Services Seminar, Oak Brook Terrace, IL, January 28, 2005
- “Current Developments In Consumer Class Actions,” Chicago Bar Association Class Litigation Committee Meeting, Chicago, IL, January 26, 2005
- “Arbitrating Complex Securities Law Claims,” Chicago Bar Association Securities Law Committee, Chicago, IL, November 18, 2004
- “Attorney-Client Privilege,” Lorman Educational Services Seminar, Oak Brook, IL, November 10, 2004
- Moderator, “Dealing With Regulators,” Chicago Bar Association Securities Law Committee, Chicago, IL, November 20, 2003
- “Federal Securities Law 2003: Regulation, Enforcement, Litigation and Arbitration,” Illinois Institute for Continuing Legal Education, Chicago, IL, May 05, 2003
- “Taking and Defending Effective Depositions in Illinois,” Lorman Education Services Seminar, Chicago, IL, April 11, 2003
- “Litigation Skills in Illinois,” Lorman Education Services Seminar, Chicago, IL, March 18, 2003
- “An Effective Approach To Legal Writing And Research In Illinois,” Lorman Education Services Seminar, Chicago, IL, April 25, 2002
- “Litigation Skills In Illinois,” Lorman Education Services Seminar, Chicago, IL, March 20, 2002
- “Large Firm Perspectives on Plain Legal Writing and Effective Legal Research,” DePaul University, Chicago, IL, April 19, 2001 to April 20, 2001
- Moderator, “The Future of Class Actions,” Professional Liability Underwriting Society Annual Conference, San Diego, CA,
- Professional Liability Underwriting Society Conference, Chicago, IL,
- “The Current Terrain in Class Actions,” Chicago Bar Association, Chicago, IL,
- Union League Club’s Financial Services Group, Chicago, IL,

- "Class Actions & Mass Actions," HB Litigation Teleconference,
- "The Crash of '08 and the Government's Response: Implications for Securities Law in 2009," Chicago Bar Association Securities Law Committee, Chicago, IL,
- "Recent Developments in Class Action Law," Chicago Bar Association Class Litigation Committee Meeting, Chicago, IL,
- "Federal Civil Practice Update 2010," Practising Law Institute, Chicago, IL,
- "Keys to Drafting Powerful Briefs That Win Cases," National Constitution Center Audio Conference,
- "Indirect Investor Litigation," HB Litigation Madoff & Ponzi Scheme Litigation Conference, New York, NY,
- "Indirect Investor Litigation," HB Litigation Madoff & Ponzi Scheme Litigation Conference, Chicago, IL,
- "Document Retention by Securities Brokers and Investment Advisers," Union League Club of Chicago, Chicago, IL,